| SGS | Battery Due Diligence Audit Report | REF. NO. | EE-TQS-BDDA-01 |
|---|---|---|---|
| | | VERSION | V01-20250422 |
| | | AUTHOR | Kinte Liu/CY Tang |
| | | APPROVED | Jobe Zhou |

| | |
|---|---|
| CUSTOMER: | Suzhou Xinlvzhou electronics Co., Ltd. |
| SUPPLIER: | Zhejiang Yunbang Battery Co., Ltd. |
| FACTORY: | Zhejiang Yunbang Battery Co., Ltd. |
| REPORT No.: | DDA-Suzhou Xinlvzhou-Zhejiang Yunbang_01-20250609&10 |
| AUDIT DATE: | From 09-Jun-2025 to 10-Jun-2025 |
| SGS AUDITOR: | Kinte Liu / Randy Lv |
| SGS AFFILIATE: | SGS_Shanghai Office |
| APPROVED BY: | CY Tang (cy.tang@sgs.com) |

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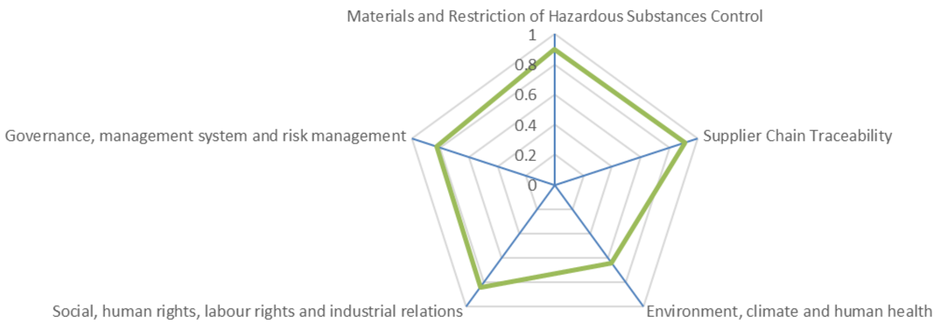
| TOTAL SCORE | 82.2 | OVERALL RATING | B |
|---|---|---|---|




| Result Classification | |
|---|---|
| **Class A >= 90 (Good)** | **Class B >= 80 and < 90 (Acceptable)** |
| Over performance was found in good practice with below evidence:<br>* The factory has established a good operation system and under effective control. | Overall performance found in acceptable control but with not enough area with below issues:<br>* The operation system has well established, but implement with not enough area, and easier to be recovered, or<br>* The operation system has not well established, but still under suitable control...etc. |
| **Class C >= 70 and <80**<br>**(Conditional acceptance; Not acceptable for product certification. Propose a re-audit within 6 months)** | **Class D < 70 (Poor and not acceptance)** |
| Overall performance was found in conditional acceptable control with below non-conforming issues:<br>* Operation system has established, but incomplete. some function missing<br>* The system is not under complete implemented.<br>* The system with major problem<br>* The system need longer time to recover...etc. | Overall performance found in poor control with below non-conforming issues:<br>* No operation system has established.<br>* The system not under implement.<br>* The system with serious problem or system break down<br>* The system could not recovered in short time period...etc. |

# GENERAL COMMENT

## A: OVERALL COMMENT

Zhejiang Yunbang Battery Co., Ltd. was founded in Mar 26, 2015 and located at Langshan Industrial Park, Xiaopu Town, Changxing County, Huzhou City, Zhejiang Province, China. It has 70 employees, there are 10 production lines, the max production out of battery is about 0.5 billion pcs per year. Factory have obtained ISO9001, ISO14001 certification.

| Battery value chain in Europe, covering raw materials extraction, sustainable sourcing and processing, sustainable battery materials, cell manufacturing as well as re-use and recycling of batteries. | raw materials extraction | sustainable sourcing and processing | sustainable battery materials | Battery cell/pack manufacturing | re-use and recycling of batteries. |
|---|---|---|---|---|---|
| | Outsourcing | Outsourcing | Outsourcing | Own factory | N/A |
| Tracking element | Natural graphite, lithium or nickel | | | | |

## B: AUDIT SUMMARY

The company has established traceability procedures and related documents. But, the company current traceability management is unable to trace back to the graphite, lithium and nickel mining process. The factory did not focus on the stocking method of the special material and product. The company has internal control system of QMS, OHS and get the valid certificate. But The company's measures for controlling GHG emissions are not sufficiently comprehensive. The company's energy conservation and emission reduction measures need to be continuously improved.

## C: SCORE SUMMARY

| No. | Section | Full Score | Actual Score | Section Score | Overall Score |
|---|---|---|---|---|---|
| 2.0 | Raw Material & Restriction of Hazardous Substances control | 30 | 27 | 90.0% | 9.2% |
| 3.0 | Supplier Chain Traceability | 88 | 80 | 90.9% | 27.4% |
| 4.0 | Environment, climate and human health | 64 | 41 | 64.1% | 14.0% |
| 5.0 | Social, human rights, labor rights and industrial relations | 70 | 59 | 84.3% | 20.2% |
| 6.0 | Governance, management system and risk management | 40 | 33 | 82.5% | 11.3% |
| | | | | Total Score | 82.2 |

## D: NOT ENOUGH POINTS DURING AUDIT AND LISTED AS FOLLOWS:

| NC No. | KPI No | Not enough contents |
|---|---|---|
| NC-01 | 2.1.2 | The company did not traced the graphite and nickel back to its original smelters or processers. |
| NC-02 | 2.1.3 | The company has establish EICC code of conduct, only the management of tin, tantalum, tungsten and gold is carried out, the cobalt, natural graphite, Lithium, and nickel is not defined to be managed in the procedure. The conflict minerals statement was not disclosed publicly on the official website. |
| NC-03 | 2.1.5 | The supplier management procedure did not stipulate the due diligence of suppliers, such as clearly defining the scope and requirements of the battery regulations. |
| NC-04 | 2.2.3 | Based on site observation, Alcohol in chemical warehouse , the electrolyte in the workshop is not stored in 2nd containers, and the MSDS documents all chemicals are not available on site. |
| NC-05 | 3.1.1 | Some suppliers of raw material such as steel shells and graphite emulsions not in the approved vender list. |
| NC-06 | 3.1.3 | Traceability information and ESG requirements were not considered in supplier selection and qualification process. |
| NC-07 | 3.1.4 | The company did not communicate its traceability scope and requirements to suppliers such as the conflict minerals management requirement. |
| NC-08 | 3.2.3 | No designated areas and demarcation is available to identify the specialty material and production. |
| NC-09 | 3.2.4 | All components are not identified with material identification label., only provide supplier's outgoing identification card. The batch number of the materials cannot be clearly identified. |
| NC-10 | 4.1.1 | There is no formal plan to control and/or reduce greenhouse gas emissions in the company's operations. |
| NC-11 | 4.1.2 | The company has not conduct a GHG inventory list. |
| NC-12 | 4.1.5 | No greenhouse gas control requirement is imposed on the supplier. |
| NC-13 | 4.1.6 | The company has not established a dedicated energy-saving and emission reduction working committee (energy-saving group/dual carbon committee, etc.). |
| NC-14 | 4.1.7 | The company did not monitor the GHG emissions. |
| NC-15 | 4.2.2 | The company has not developed a water management plan. |
| NC-16 | 4.2.3 | The company has not adopt water-saving measures. |
| NC-17 | 4.6.4 | Currently the air conditioning and some motors in the company is at level 3 energy efficiency. |
| NC-18 | 4.7.3 | The company has no clear measures to reduce waste generation. |
| NC-19 | 5.1.2 | Some switchgear/distribution cabinets are not locked, posing a risk of electric shock. |
| NC-20 | 5.2.5 | The company has not establish the risk source identification and risk assessment and risk control system. |

# GENERAL COMMENT

| | | |
|---|---|---|
| NC-21 | 5.2.7 | During the audit, it was found that some workers in the assembly workshop did not wear earplugs. |
| NC-22 | 5.2.8 | The filter element for the drinking water was not replaced as per the schedule. |
| NC-23 | 5.2.9 | The evacuation plots for the assembly workshop is not placed on site. |
| NC-24 | 5.2.9 | There are no inspection records for the dry powder fire extinguishers in the workshop, and some of the fire extinguisher boxes are covered by sundries. |
| NC-25 | 5.4.3 | It is common for front-line employees to work continuously for more than 7 days and to work overtime for more than 36 hours per month. |
| NC-26 | 6.3.2 | The key position employees have not signed the "Anti-Corruption/Anti-Fraud Pledge" with the company. |
| NC-27 | 6.4.2 | The supplier contract/agreement does not include any content such as code of conduct or business ethics. |
| NC-28 | 6.4.3 | The company did not include social responsibility audit content when conducting supplier audits. |
| NC-29 | 6.5.1 | In accordance with the company's code of business ethics, three reporting boxes were set up, located respectively at the first-floor stairwell of the office building, the elevator entrance of the Carbon II Building, and the first-floor stairwell of the Zinc Tube Workshop. However, none were found at the scene. |

**E: PROPOSED CORRECTIVE AND PREVENTIVE ACTION AND FACTORY FEEDBACK COMMENT:**

| NC No. | Proposed corrective & preventive actions | Feedback comments from factory |
|---|---|---|
| NC-01 | The company should trace the graphite and nickel back to its original smelters or processers. | |
| NC-02 | The company's EICC code of conduct should manage the cobalt, natural graphite, Lithium, and nickel. The conflict minerals statement should be disclosed publicly on the official website. | |
| NC-03 | The supplier management procedure should stipulate the due diligence of suppliers, such as clearly defining the scope and requirements of the battery regulations. | |
| NC-04 | Alcohol, electrolyte should be stored in 2nd containers, and MSDS documents should be available on site. | |
| NC-05 | Suppliers of raw material such as steel shells and graphite emulsions should be approved in AVL. | |
| NC-06 | Traceability information and ESG requirements should be considered in supplier selection and qualification process. | |
| NC-07 | The company should communicate its traceability scope and requirements to suppliers such as the conflict minerals management requirement. | |
| NC-08 | Designated areas and demarcation should be available to identify the specialty material and production. | |
| NC-09 | All components should be identified with material identification label. | |
| NC-10 | Formal plan to control and/or reduce greenhouse gas emissions should be available. | |
| NC-11 | The company should conduct a GHG inventory list. | |
| NC-12 | Greenhouse gas control requirement should be imposed on the supplier. | |
| NC-13 | The company should establish a dedicated energy-saving and emission reduction working committee (energy-saving group/dual carbon committee, etc.). | |
| NC-14 | The company should monitor the GHG emissions. | |
| NC-15 | The company should develop a water management plan. | |
| NC-16 | The company should adopt water-saving measures. | |
| NC-17 | The air conditioning and some motors in the company should update to high level energy efficiency. | |
| NC-18 | The company should have clear measures to reduce waste generation. | |
| NC-19 | Switchgear/distribution cabinets should be locked to prevent a risk of electric shock. | |
| NC-20 | The company has not establish the risk source identification and risk assessment and risk control system. | |
| NC-21 | Workers in the assembly workshop should wear earplugs. | |
| NC-22 | The filter element for the drinking water should be replaced as per the schedule. | |
| NC-23 | The evacuation plots for the assembly workshop should be placed on site. | |
| NC-24 | Inspection records for the dry powder fire extinguishers should be available, the fire extinguisher boxes should not be covered by sundries. | |
| NC-25 | Work time and work overtime should comply with the national labor law. | |
| NC-26 | The key position employees should sign the "Anti-Corruption/Anti-Fraud Pledge" with the company. | |
| NC-27 | The supplier contract/agreement should include code of conduct or business ethics. | |
| NC-28 | The company should conduct social responsibility audit content when conducting supplier audits. | |
| NC-29 | Complaint box should be available according to the company's code of business ethics. | |

# GENERAL COMMENT

**F: FINAL CONCLUSION:**

According to the final score and general comments, the following is the final conclusion of this factory audit:

**-- Restriction of Hazardous Substances control**

Poor and need to be improved

**-- Supplier Chain Traceability**

Acceptable

**-- Environment, climate and human health**

Poor and need to be improved

**-- Social, human rights, labor rights and industrial relations**

Acceptable

**-- Governance, management system and risk management**

Acceptable

# GENERAL INFORMATION

| | | | | |
|---|---|---|---|---|
| **1.1** | **SUPPLIER INFORMATION** | | | |
| 1.1.1 | Supplier Name: | Zhejiang Yunbang Battery Co., Ltd. | | |
| 1.1.2 | Address | Langshan Industrial Park, Xiaopu Town, Changxing County, Huzhou City, Zhejiang Province, China | | |
| 1.1.3 | Contact Information | **Name** | **Position** | **Fax No.** |
| | | Ding Cheng | GM | Nil |
| | | **Phone No** | **E-mail** | |
| | | 13600532509 | nacEe0914@163.com | |
| **1.2** | **MANUFACTURER INFORMATION** | | | |
| 1.2.1 | Company Name | Zhejiang Yunbang Battery Co., Ltd. | | |
| 1.2.2 | Company Address | Langshan Industrial Park, Xiaopu Town, Changxing County, Huzhou City, Zhejiang Province, China | | |
| 1.2.3 | Contact Information | **Name** | **Position** | **Fax No.** |
| | | Ding Cheng | GM | Nil |
| | | **Phone No** | **E-mail** | |
| | | 13600532509 | nacEe0914@163.com | |
| 1.2.4 | Company Ownership | Private enterprises | | |
| 1.2.5 | Date of Creation | March 26, 2015 | | |
| 1.2.6 | Enterprise License Number | 91330522344036162H | | |
| 1.2.7 | System Certificates Obtained | ISO9001、ISO14001 | | |
| 1.2.8 | Factory Total Area ($m^2$) | 18,682 | | |
| | Sub-Area ($m^2$) | **Production Area** | **Storage Area** | **Others** |
| | | 12,000 | 4000 | 2682 |
| 1.2.9 | Product Scope | Mercury-free alkaline batteries | | |
| 1.2.10 | Product Certifications Obtained | CCC、CE | | |
| 1.2.11 | Total Number of Employees | 70 | | |
| | R&D | / | Quality | 7 |
| | PMC | / | Purchasing | 1 |
| | Marketing | 1 | Production | 50 |
| | Warehouse | 1 | Engineer (IE/PE/ME) | 2 |
| | Admin. & HR | 2 | Others | 6 |
| 1.2.12 | Operation Hours | **Shift/day** | **Hour/day** | **Days/week** |
| | | 6:00-16:30 | 10.5 | 7 |
| 1.2.13 | Turnover & Profitability (last year) | 89,200,000 CNY in Year 2024 | | |
| 1.2.14 | Sub-contracting Scope | N/A | | |
| 1.2.15 | Major Clients (Provided by Manufacturer) | **Name** | **Country** | **Products** |
| | | Shenzhen Naikesen | China | Alkaline battery |
| | | Dongguan Nuoxin | China | Alkaline battery |
| | | Shandong Huatai | China | Alkaline battery |

| NO | KPI (Key Point Indicator) | Score | Audit finding and comment |
|---|---|---|---|
| 2.0 | **Raw Materials and Restriction of Hazardous Substances Control** | | **30** |
| | | | **27** |
| | | | **90%** |
| **2.1** | **Raw Material** | | |
| 2.1.1 | Is any of the cobalt, natural graphite, lithium, nickel intentionally added or used in the product(s) or in the production process? If yes, please answer Questions (2)-(5). | YES | Based on the BOM list, it was found that the graphite and nickel used in the component. |
| | Cobalt | N/A | NO |
| | Natural graphite | 2 | YES |
| | Lithium | N/A | NO |
| | Nickel | 2 | YES |
| 2.1.2 | Have you traced the cobalt, natural graphite, lithium, nickel back to their original smelters or processers? If yes, please complete i-Appendix-Mapping and j-Traceability Evidence, providing a list of all suppliers in your supply chain that process these minerals, including the company name, the company address, quantity information, the source of information (whether entirely from recycled materials or scrap) and the identification information. If your company chooses to report at the product level, please provide a comprehensive list of all products that contain these minerals. | NO | The company traced the component of graphite and nickel to it's supplier and traced the graphite and nickel back to its original smelters or processers. (NC-01) |
| | Cobalt | N/A | N/A |
| | Natural graphite | 2 | YES |
| | Lithium | N/A | N/A |
| | Nickel | 2 | YES |
| 2.1.3 | Have you established a responsible mineral sourcing policy publicly available? | 0 | NC-02: The company has establish EICC code of conduct, only the management of tin, tantalum, tungsten and gold is carried out, the cobalt, natural graphite, Lithium, and nickel is not defined to be managed in the procedure. The conflict minerals statement was not disclosed publicly on the official website. |
| 2.1.4 | Have you implemented due diligence measures for responsible sourcing? | 1 | The rectification has been completed. |
| 2.1.5 | Do you review due diligence information received from your suppliers against your company's expectations and have corrective action management? | 2 | The rectification has been completed. |
| **2.2** | **Restriction of Hazardous Substances** | | |
| 2.2.1 | Does the company produce or use hazardous materials that are deemed illegal under applicable local or national regulations, or which are subject to internationally agreed phase-outs or bans? | 2 | The company have established social responsibility environmental protection control program. RoHS and Reach test report was avalable. |
| 2.2.2 | Can the company provide an inventory of the hazardous materials it uses and are any of these rated as extremely hazardous (1a) or hazardous (1b) according to the World Health Organization (WHO)? | 2 | The company provided an inventory of the hazardous materials according to WHO. |
| 2.2.3 | Is there a clear MSDS document available onsite for chemical material, and a second container for avoiding potential leakage (for dangerous/hazardous ones). | 2 | MSDS document is available onsite for most of chemical material. Alcohol has stored in 2nd containers. |
| 2.2.4 | Can the company provide compliance disposal and proof? | 2 | The company provided RoHS and Reach test report. Records are checked and found acceptable. |
| 2.2.5 | Is there an emergency plan for hazardous substance leakage on site? | 2 | The company provided emergency plan for hazardous substance leakage. Records are checked and found acceptable. |

| | | | |
|---|---|---|---|
| 2.2.6 | Does the company conduct regular safety inspections on the use and storage of hazardous substances? | 2 | The company provided inventory count record for hazardous chemical. Records are checked and found acceptable. |
| 2.2.7 | Is the company in the process of phasing out any internationally banned materials? | 2 | Some internationally banned substances are still in use due to current technological and workmanship limitations. The standard content limitations of lead, Hg, Cd defined in company's policy was higher than the battery regulations. |
| 2.2.8 | Have you restricted the content of mercury (Hg), cadmium (Cd), lead (Pb) and other hazardous substances in the product to comply with REGULATION (EU) 2023/1542 and Regulation (EC) No 1901/2006? If yes, please provide the effective testing report within 1 year. | 2 | The company has established social responsibility environmental protection control program. The standard content limitations of lead, Hg, Cd defined in companay's policy was higher than the battery regulations. |

| NO | KPI (Key Point Indicator) | Score | Audit finding and comment |
|---|---|---|---|
| **3.0** | **Supplier Chain Traceability** | | **88** |
| | | | **80** |
| | | | **90.9%** |
| **3.1** | **Supply chain management** | | |
| 3.1.1 | Is there an authorized list of Suppliers and sub-contractors of raw materials/ components to be used in manufacturing goods？ | 1 | Approval Vendor List is available, all raw materials were purchased from approved supplier. But: NC-05: Some suppliers of raw material such as steel shells and graphite emulsions not in the approved vender list. |
| 1 3.1.2 | *Has company mapped its suppliers and sub-suppliers, and has identified high risk sub-suppliers. Please list the key raw materials supplier's information in the remarks column here.* | 6 | The company identify high-risk suppliers in order to comply with the regulation (EU) 2023/1542. |
| 1 3.1.3 | *Does company perform Supplier evaluations before initiating purchase process? And are record and information kept on qualified and disqualified suppliers. The ability and willingness of suppliers to provide traceability information and ESG requirements shall be considered in an organization's supplier selection and qualification process.* | 6 | The company evaluated the their suppliers quarterly from Quality, delivery, price, service. They are classified for the suppliers and annual audit will conduct for all suppliers. Traceability information and ESG requirements were considered in supplier selection and qualification process. |
| 3.1.4 | Does company communicates clearly its traceability scope and requirements to suppliers? | 2 | The company communicated its traceability scope and requirements to suppliers such as the conflict minerals management requirement. (NC-07) |
| 3.1.5 | Are there metallurgical plants in the supply chain purchasing cobalt, Natural graphite, Lithium and Nickel from conflict affected and high-risk areas. | 0 | NC-01: The company did not traced the graphite and nickel back to its original smelters or processers clearly. |
| **3.2** | **Raw material control & storage** | | |
| 3.2.1 | Does company establish an effective material tracking system, such as part number, lot number & etc.? | 2 | The material traceability is based on material type /supplire / lot number of raw material. Actual operation and records are checked and found acceptable. |
| 3.2.2 | Does IQC inspection record can trace to lot number or date code of raw material? | 2 | Checked IQC inspection record, lot number and date code was recorded. |
| 3.2.3 | Does company have designated areas to store / stack the specialty material to avoid mixing / contamination and if these area have demarcation for varies materials. | 0 | NC-08: No designated areas and demarcation is available to identify the specialty material and production. |
| 3.2.4 | Check the storage method/container of components to avoid any risk. All materials are clearly identified, no materials mixed and poor handling & etc. | 1 | Storage areas are clearly identified. But: NC-09: All components are not identified with material identification label, only provide supplier's outgoing identification card. The batch number of the materials cannot be clearly identified. |
| 3.2.5 | Is the method for correct materials released to production line adequate? | 2 | The production line submits the production material requirements from PMC according to the work order demands. The warehouse prepares the materials based on the work order and distributes them to the production line. After the production line team leader verifies that the materials are correct, they are put into production. |
| **3.3** | **Manufacturing process control** | | |
| 1 3.3.1 | *Is traceability system such as MES or similar system available to implement tracking and management of the raw materials, production and finished goods for lithium batteries?* | 6 | Currently, Kingdee ERP system was used for the traceability of the raw materials, production process and product information of the batteries. |
| 3.3.2 | Can operators understand how to recognize appropriate materials to ensure right inputs for production? | 2 | Operators can recognize appropriate material by work order, lot number which stated on material travelling label. |

| | | | | |
|---|---|---|---|---|
| | 3.3.3 | Are materials, semi products, finished goods, leftover identified and segregated well to avoid contamination? | 2 | All materials, semi products, finished goods and leftover materials are well segregated and identified to avoid contamination. |
| | 3.3.4 | Are the production records to be kept well for traceability? | 6 | The production records are kept in manually and in ERP system for traceability. |
| | 3.3.5 | Is company able to trace back all materials used to produce the product, until batch numbers of purchased raw materials / subcomponents? | 1 | Batch number and raw materials can be traced in manually and in ERP. But:<br>same as NC-09 |
| 1 | 3.3.6 | *Is the format of battery code (battery passport) conformed to relative requirement?* | 6 | The company have it's battery code format. |
| | 3.3.7 | Is system in place to ensure the traceability and identification of product/package/ boxes / pallets? | 2 | Kingdee ERP system is used to ensure the taceability and identification of product/package/boxes/pallet. |
| | 3.3.8 | Is there any authorized area for specific products? | 0 | Same as NC-08 |
| | 3.3.9 | Do all finished products record tracking information like batch No, Production order No or SN when inbound into finished warehouse into MES or similar system? | 2 | The production order No, batch No was recorded in manually and kingdee ERP system for tracking when inbound into finished warehouse. |
| 1 | 3.3.10 | *Is traceability system effective from source of raw material and components through finished product? traceability up to the minerals production (particularly in Cobalt mining, Lithium- and Nickel-mining and processing) is ideal. (Refer to e- Appendix sheet)* | 3 | Same as NC-01, NC-03, NC-06 |
| | **3.4** | **Product storage and delivery control** | | |
| | 3.4.1 | Are procedures for the control and release for end products adequate? | 2 | Documented procedure is available to control and release the finished products. |
| | 3.4.2 | Are storage facilities and handling methods appropriate for end products? | 2 | Shipment will be ready as per shipment order issued by sales assistant, all PO number and batch will be recorded manually before loading. |
| 1 | 3.4.3 | *Is traceability system available to implement tracking and management of finished goods storage, distribution, and sales processes of lithium batteries?* | 6 | Manual traceability system is available to implement tracking and management of finished goods storage, distribution, and sales processes of battery. |
| | 3.4.4 | Is there a container and seal inspection control procedure? And are container number & seal number correctly recorded? | 2 | company has check list to carry out containers inspection and records are available. |
| | 3.4.5 | Can company monitor the containers of trucks during transportation? | 2 | Each container was sealed by seal if applicable before ship out the factory, and the container will be monitored by GPS from logistics company. |
| | **3.5** | **Documentation and training** | | |
| | 3.5.1 | Are all the records kept well for certain period as documented procedure defined? (at least 3 years) | 2 | All records will be kept at least 5 years. |
| | 3.5.2 | Are the relevant operators trained and qualified on traceability? And are training records available at site? Are there qualified staffs for cross departments coordination? | 2 | Relevant operators are trained on traceability. |
| | 3.5.3 | Are supply chain transaction documentation available? – including supply contracts, purchase orders, invoices, bill(s) of lading, delivery records related to traceable materials. | 2 | Relative documents and records are available. |
| | 3.5.4 | Does company have internal annual traceability validation in regularly to monitoring product traceability performance? | 2 | Company conducted internal annual traceability audit in regularly to monitor its product traceability performance, records are checked and found acceptable. |
| 1 | 3.5.5 | *Have company developed and implemented a due diligence system & function team to its traceability system and its supplier's performance.* | 6 | The company has team to monitor its traceability system and supplier's performance. |

| 4.0 | Environment, climate and human health | | 64 |
|---|---|---|---|
| | | | 41 |
| | | | 64.1% |
| NO | KPI (Key Point Indicator) | Score | Audit finding and comment |
| 4.1 | Air | | |
| 4.1.1 | Does the company have formal plans to control and/or reduce GHG emissions from company operations? | 1 | The company reduces greenhouse gas emissions through equipment upgrades, rooftop photovoltaics, and other measures, but<br>NC-10: There is no formal plan to control and/or reduce greenhouse gas emissions in the company's operations. |
| c 4.1.2 | Has a greenhouse gas inventory been conducted? | 0 | NC-11: The company has not conduct a GHG inventory list. |
| 4.1.3 | Does the scope of greenhouse gas inventory include scope 3 emissions? | 0 | Same as NC-11 |
| 4.1.4 | Has the greenhouse gas inventory been verified by a third party? | 0 | Same as NC-11 |
| 4.1.5 | Are greenhouse gas control requirements imposed on suppliers? | 0 | NC-12: No greenhouse gas control requirement is imposed on the supplier. |
| 4.1.6 | Has the enterprise established a special working committee for energy conservation and emission reduction (energy conservation group/dual carbon Committee, etc.) | 0 | NC-13: The company has not established a dedicated energy-saving and emission reduction working committee (energy-saving group/dual carbon committee, etc.). |
| 4.1.7 | Has GHG emissions been monitored? | 0 | NC-14: The company did not monitor the GHG emissions. |
| c 4.1.8 | Does the company have measures to reduce GHG emissions? | 6 | The company reduces greenhouse gas emissions through equipment upgrades, rooftop photovoltaics, and other measures. |
| 4.2 | Water | | |
| 4.2.1 | Has the company identified and mapped its water withdrawal and use by source and type? | 2 | There is only one type of water withdrawal i.e. Municipal tap water. |
| 4.2.2 | Has the company undertaken water management plans, with time-bound targets that address major risks identified in the above criterion? | 0 | NC-15: The company has not developed a water management plan. |
| 4.2.3 | What is the total amount of water used? Have water-saving measures been adopted? | 1 | 4500 tone in 2024, But:<br>NC-16: The company has not adopt water-saving measures. |
| 4.2.4 | Are wastewater recycling measures implemented throughout the company facilities? | 2 | The company has established a sewage treatment plant to achieve the recycling and use of production water. |
| 4.3 | Soil | | |
| 4.3.1 | Does the company manage and control the adverse impacts of their activities on soil? | 2 | The company has a fixed pollution source discharge registration form, and hazardous waste, recyclable waste, and general solid waste are managed separately. Due to not being a key soil monitoring unit, no soil testing has been conducted. |
| 4.3.2 | Does the company follow the conditions in their permit which tell them to prevent or minimize pollution and set limits on emissions to soil? | 2 | Follow the conditions in EIS, no soil contamination was observed on site. |
| 4.4 | Biodiversity | | |
| 4.4.1 | Does the company have a biodiversity management plan and/or controls measures in place for their impact on the local biodiversity? | 2 | The company has a good ecosystem, suitable greening rate. |
| 4.4.2 | Does the company have targets and/or programmed to prevent or minimize risks and impacts to biodiversity? | 2 | According to the environmental impact assessment report to prevent or minimize risks and impacts to biodiversity. |
| 4.5 | Noise and vibration | | |

| | | | |
|---|---|---|---|
| 4.5.1 | Does company controls noise and vibration? | 2 | Every year, third-party companies inspect the noise outside the factory boundary and the production process noise in the workshop. |
| 4.5.2 | If the noise is too loud, is the factory equipped with noise and vibration reduction treatment facilities? | 2 | All workstations have noise levels below 85 decibels. |
| 4.5.3 | Is there regular monitoring of noise and vibration, and maintenance of monitoring reports? | 2 | Annual noise inspection reports are available. |
| **4.6** | **Energy use** | | |
| c 4.6.1 | Does the company monitor and measure its energy consumption from renewable and non-renewable sources? | 6 | The total electricity consumption in 2024 is 1240000 kWh. All energy sources are non renewable. |
| 4.6.2 | Has the enterprise currently carried out renewable energy production or consumption activities? | 2 | The company starts rooftop photovoltaic power generation in Jun 5, 2025 .The electricity consumption of photovoltaic power is 500000kWh. |
| 4.6.3 | Where energy is supplied by the national grid, are there any controls in place to manage risks associated with this supply (e.g. power outages or dramatic price increases). | 2 | The power supply is stable, and power outages will be notified in advance. The company has a generator on hand. |
| 4.6.4 | Are energy reduction measures implemented throughout the company facilities? | 1 | The company has taken some energy-saving and consumption reducing measures, but<br>NC-17: currently the air conditioning and some motors in the company is at level 3 energy efficiency. |
| **4.7** | **Waste and residues** | | |
| 4.7.1 | If contractors are used to dispose of solid waste, does the company monitor them and have documentary evidence of safe and legal disposal (i.e. duty of care for waste management)? | 2 | There is one contractor for hazardous waste disposal, handle once a year. About 100 tons of solid waste are processed by third-party companies six times a year. |
| 4.7.2 | Are waste materials segregated at the office/site locations ready for recycling etc.? | 2 | All waste materials are segregated in office and site locations. |
| 4.7.3 | Does the company have measures to reduce waste generation? | 0 | NC-18: The company has no clear measures to reduce waste generation. |

| 5.0 | Social, human rights, labor rights and industrial relations | | 70 |
|---|---|---|---|
| | | | 59 |
| | | | 84.3% |
| **NO** | **KPI (Key Point Indicator)** | **Score** | **Audit finding and comment** |
| 5.1 | Plant safety | | |
| 5.1.1 | Does the company have formal machine safety controls in place? | 2 | The company has established equipment and facilities safety management System. |
| 5.1.2 | Does the company have formal electrical safety controls in place? | 1 | The company has established electrical locking and labeling management System, but<br>NC-19: Some switchgear/distribution cabinets are not locked, posing a risk of electric shock. |
| 5.1.3 | Does the company have formal chemical safety controls in place? Is MSDS available for dangerous chemicals? | 2 | The company has established chemical pollution control procedure. Separate chemical and recycling warehouses have been set up, and MSDS is available. |
| 5.2 | Occupational health and safety | | |
| 5.2.1 | Does the company have a formal health and safety system in place designed for the protection of workers? | 2 | The company has established occupational health management system. |
| c 5.2.5 | Is there a formal process for the completion and review of Risk Assessments? | 3 | The company has established List of Safety Risk Identification and Tiered Control Measures. But: NC-20: The company has not establish the risk source identification and risk assessment and risk control system. |
| 5.2.3 | Does the company have a process to record, and investigate near misses and accidents and to ensure that corrective actions are effectively implemented? | 2 | The company has established accident incident reporting, investigation and handling control procedure. |
| 5.2.4 | Does the company have data on OHS performance (e.g. Lost Time Injury Frequency Rates). | 2 | The company updates the occupational health and safety performance data sheet every month include lost time injury frequency rates and etc.. |
| 5.2.5 | Is formal OHS training provided to workers? (including part time, seasonal, indirect employees and other workers). This may be sector specific or relate to specific types of risk (e.g. falls from height, risk of fire, safe and defensive driving). | 2 | The company has yearly training plan for OHS include all type of workers. The training records are all kept. |
| 5.2.6 | First aid equipment is stocked and accessible? | 2 | The company has established emergency materials management system. First aid equipment is stocked and accessible |
| c 5.2.7 | Are workers aware of PPE requirements and wearing PPE in designated areas? | 3 | The company has established personal protective equipment management procedure. Workers wear PPE in designed areas. But:<br>NC-21: During the audit, it was found that some workers in the assembly workshop did not wear earplugs. |
| 5.2.8 | Is drinking water accessible and adequate at each facility floor? | 1 | Drinking water is accessible and adequate at each facility floor. But,<br>NC-22: The filter element for the drinking water was not replaced as per the schedule. |
| 5.2.9 | Are fire safety facility and equipment in normal condition? Are fire escape exits unblocked or unlocked? Are correct evacuation plots being posted at all floors of facility? | 1 | Fire-fighting equipment and flood prevention supplies are subject to regular inspections. Fire escape exits are unblocked. But:<br>NC-23: The evacuation plots for the assembly workshop is not placed on site.<br>NC-24: There are no inspection records for the dry powder fire extinguishers in the workshop, and some of the fire extinguisher boxes are covered by sundries. |

| | | | |
|---|---|---|---|
| 5.2.10 | Are documented fire drills conducted at least once a year or per local law requirements? Does the facility have a written fire evacuation plan covering(1) roles and responsibilities in case of fire, and (2) evacuation routes, and (3) assembly area, and (4) fire equipment location, and (5) first aid location, and (6) emergency telephone numbers, and (7) how to checked off that no one is left unaccounted for in the building? | 2 | Fire drills are conducted once a year. Written fire evacuation plan is available. |
| **5.3** | **Child labor** | | |
| 5.3.1 | Are there any child labors identified at the time of this audit? | 2 | Not found child labor during audit. |
| 5.3.2 | Are there specific requirements for minimum age? | 2 | 18 year in Management Procedure for Child Labor and Underage Workers. |
| 5.3.3 | Does the company have effective procedures to verify the age of employees during recruitment? | 2 | HR check ID card when have interview, compare with the local public security department system. |
| 5.3.4 | If there are any young workers (typically under that age of 18) are they prohibited from undertaking high risk activities? | NA | All workers are over 18 years old. |
| **5.4** | **Forced labor** | | |
| 5.4.1 | Are there any forced, bonded or involuntary labor identified at the time of this audit? | 6 | Not found forced, bonded or involuntary labor during audit. |
| 5.4.5 | Are there specific requirements for forced labor? | 2 | There are specified requirements in Manual on Forced Labor Control. |
| 5.4.3 | Do workers' working hours comply with national employment regulations and core ILO Labor Conventions? | 0 | NC-25: It is common for front-line employees to work continuously for more than 7 days and to work overtime for more than 36 hours per month. |
| 5.4.4 | Is overtime voluntary? | 2 | Overtime is voluntary, employees can choose not to work overtime if they have personal matters. |
| 5.4.5 | Has the institution withheld original personal identification documents such as ID cards and passports for employment purposes? | 2 | No cases of employees withholding their ID cards were found. |
| 5.4.6 | Is it allowed for employees to resign after giving reasonable notice? | 2 | Employees are free to resign with reasonable reasons according to Employee Resignation Management Rule. |
| **5.5** | **Discrimination** | | |
| 5.5.1 | Does the company implement Policies and processes to ensure respect for the rights of minority groups? | 2 | Anti discrimination management program stipulates that employees shall not be discriminated against based on race or ethnicity, no employee reported experiencing discrimination during the interview. |
| 5.5.2 | Are there specific requirements for the prohibition of discrimination? | 2 | The company promises that all employees will not be subjected to harassment and illegal discrimination, including recruitment, training, pregnancy, etc. |
| **5.6** | **Trade union freedoms** | | |
| 5.6.1 | Can workers freely express their opinions/concerns? | 2 | Workers can freely express their opinions/concerns. |
| 5.6.5 | Do workers have the right of "Freedom of Association" or to "Collectively Bargain"? | 2 | The company has stipulated freedom of association and collective bargaining rights in Free association and collective bargaining procedures. |
| 5.6.3 | Are workers union representatives democratically elected and free to carry out their duties within working hours? | 2 | Workers union representatives are democratically elected and free to carry out their duties within working hours. |
| **5.7** | **Community life** | | |
| 5.7.1 | Does the company manage and control any new or significant risks and/or impacts on local communities? | 2 | The environmental impact factors has taken into account the influence of the local community. |
| 5.7.2 | Does the company actively contribute to the local community through charitable programmed? | 2 | The company has carried out public welfare, charity, and volunteer services for the local community, such as donations, paired assistance. |

| 6.0 | Governance, management system and risk management | | 40 |
|---|---|---|---|
| | | | 33 |
| | | | 82.5% |
| NO | KPI (Key Point Indicator) | Score | Audit finding and comment |
| 6.1 | Management system and internal control | | |
| 6.1.1 | Does the company have a formal ESG management system(s)? (i.e. ISO9001, ISO14001, ISO45001, SA8000 etc.) | 2 | The company has obtained the certificate of ISO9001, ISO14001. |
| 6.1.2 | Does the company have an adequate internal control system is in place? | 2 | The company has established a Quality System Management Manual, Environmental Management System Manual. |
| 6.1.3 | Does the company have an effective quality management system and internal control for product quality control? | 2 | The company has established Quality Management System and have internal audit once a year. |
| 6.2 | Risk management | | |
| 6.2.1 | Does the company have an active monitor and review mechanism in place to prevent and detect ESG risks? | 2 | The company has established Risk and Opportunity Control Procedure to prevent and detect risks. The company provides 2024 Risk and Opportunity Assessment Review Report for review. |
| c 6.2.2 | Has the company assessed the existed or pential risk and potential risks every year? | 6 | The 2024 Risk and Opportunity Assessment Review Report can be provided. |
| 6.2.3 | Does the company take action for the high ESG risks? | 2 | There are corrective actions in 2024 Risk and Opportunity Assessment Review Report |
| 6.3 | Anti-Corruption | | |
| 6.3.1 | Is there a formal written anti-corruption policy? | 2 | The company has established Social responsibility, business ethics, and anti-corruption control procedures. |
| 6.3.2 | Are details of the anti-corruption policy communicated to workers and signatures of acceptance collected? | 3 | Training records can be provided. But<br>NC-26: The key position employees have not signed the "Anti-Corruption/Anti-Fraud Pledge" with the company. |
| 6.3.3 | Does the company have Whistleblowing policy/company documents designed to encourage employees to report instances of bribery and corruption and other corporate wrongdoing? | 2 | The company has established Complaint Management Procedure. |
| 6.4 | Code of Conduct | | |
| 6.4.1 | Does the company have a Company Code of Conduct and/or policies? | 2 | The company has established Social responsibility, business ethics. |
| c 6.4.2 | Is the Code of Conduct included in contracts and/or agreements with suppliers and business partners? | 3 | The code of conduct mentions the relationship with partners.But,<br>NC-27: The supplier contract/agreement does not include any content such as code of conduct or business ethics. |
| 6.4.3 | Has the company established procedures for monitoring compliance with the Code of Conduct? | 2 | The company has established Social responsibility, business ethics. The company included social responsibility audit content when conducting supplier audits. |
| 6.5 | Grievance mechanism | | |
| 6.5.1 | Does the company have a grievance mechanism? | 1 | The company has established Grievance Management Procedure. But,<br>NC-29: In accordance with the company's code of business ethics, three reporting boxes were set up, located respectively at the first-floor stairwell of the office building, the elevator entrance of the Carbon II Building, and the first-floor stairwell of the Zinc Tube Workshop. However, none were found at the scene. |
| 6.5.2 | Is it accessible, transparent, understandable and culturally and gender sensitive? | 2 | The company has established Grievance Management Procedure. |

# SUPPLY CHAIN MAPPING

## 7.1 Supply Chain Mapping

**Graphite emulsion**
Supplier: Fujiang Shaowu city Yuanhan Graphite Coating Factory
Location: No. 6, Lianxin South Road, Shangwu City, Nanping City, Fujian

**Steel shell**
Supplier: Cixi Xuwui Electronics Co., Ltd.
Location: No. 529, Binhai Second Road, Cixi City, Ningbo City, Zhejiang

**Graphite powder**
Supplier: Ulanqab City Dasheng Graphite New Materials Co., Ltd.
Location: Wangjia Industrial Zone, Xinghe

**Akaline battery**
Supplier: Zhejiang Yunbang Battery Co., Ltd.
Location: Langshan Industrial Park, Xiaopu Town, Changxing County, Huzhou City, Zhejiang Province, China

### 7.1.1 Supply Chain Mapping of Battery

# SUPPLY CHAIN MAPPING

**7.2 Actual Traceability Practice Verification**

## Traceability Mapping

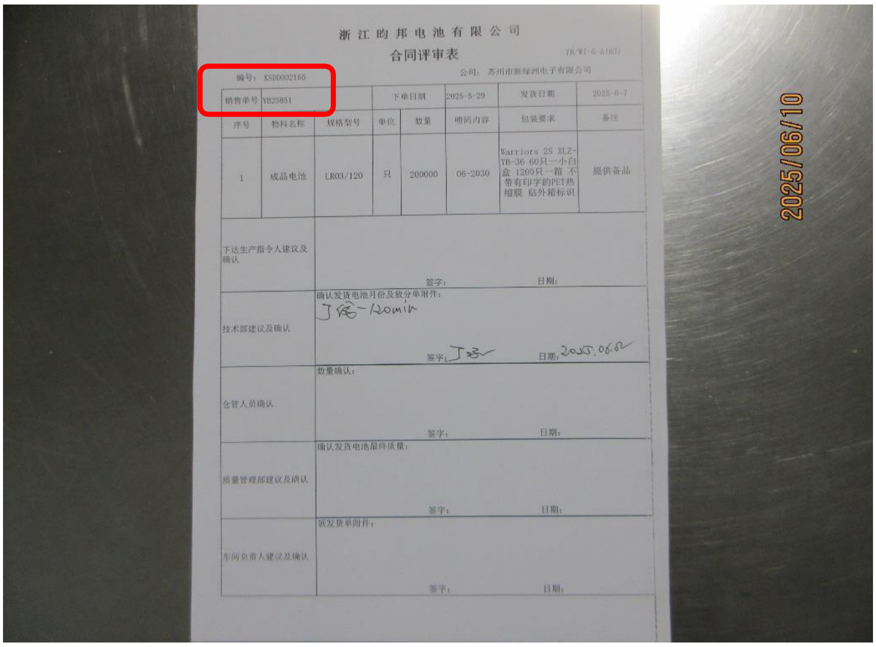
## (Box PO number: POORD-07-202505-0221)

| Supplier Information | Production Transportation Process | Traceability Connecting Points | | Quantity Information | | Source of Information | Brief Introduction of Traceability |
|---|---|---|---|---|---|---|---|
| | | | | Battery Quantity (Unit) | Pack Quantity (Unit) | | |
| Zhejiang Yunbang Battery Co., Ltd. | Akaline battery production | Akaline battery production order | YB25851 | 200000 pcs | / | Paper record | By checking the PO number of sampled box number and client's PO request to find out production batch number. |
| | | Akaline battery batch | In the battery inspection report, the production batch number can be traced back through the order number. The production batch number is 20250518 | 200000 pcs | / | Paper record | By checking the PO number of sampled box number and client's PO request to find out production batch number. |
| | | | | | | | |
| Fujiang Shaowu city Yuanhan Graphite Coating Factory | Graphite emulsion transportation | Graphite emulsion requisition batch number | 20250518 | 18kg | / | Paper record | By checking the battery batch code to find out Graphite powder requisition batch number. |
| | | Graphite emulsion purchase batch number | CGDD000507 | 360kg | / | ERP | By checking Graphite powder requisition batch number to find out purchase order. |
| | | | | | | | |
| Cixi Xuwui Electronics Co., Ltd. | Steel shell transportation | steel shell requisition batch number | 20250502 | 2268000 pcs | / | Paper record | By checking the battery batch code to find out Graphite powder requisition batch number. |
| | | steel shell purchase order | CGDD000472 | 2268000 pcs | / | ERP | By checking Graphite powder requisition batch number to find out purchase order. |
| | | | | | | | |
| Ulanqab City Dasheng Graphite New Materials Co., Ltd. | Graphite powder transportation | Graphite powder requisition batch number | 20250515 | 9.6ton | / | Paper record | By checking the battery batch code to find out Graphite powder requisition batch number. |
| | | Graphite powder purchase order | CGDD000395 | 9.6ton | / | ERP | By checking Graphite powder requisition batch number to find out purchase order. |

# TRACEABILITY EVIDENCE

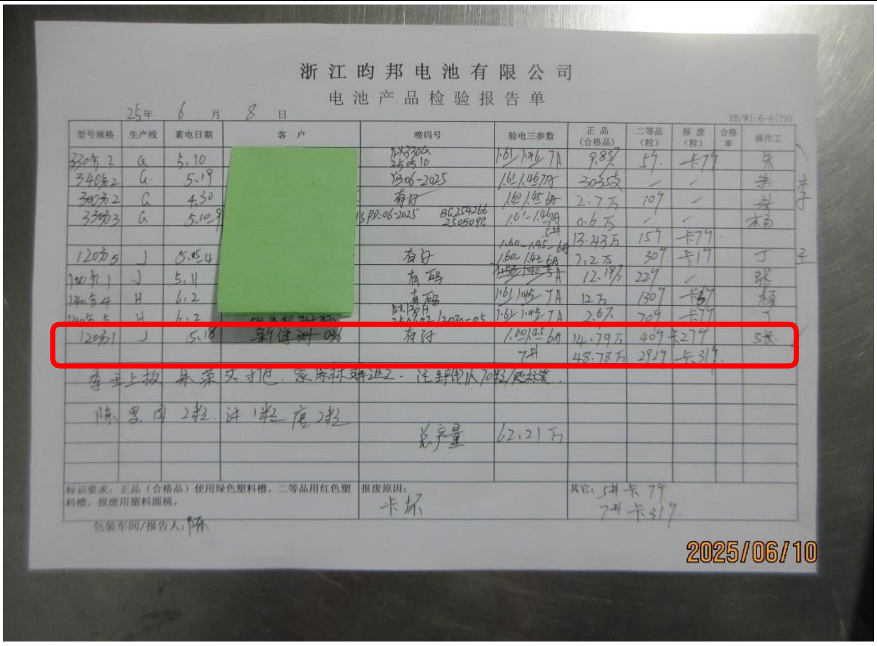
**8.1. Box PO number (POORD-07-202505-0221)**


**8.2. Sales PO number (YB25851)**

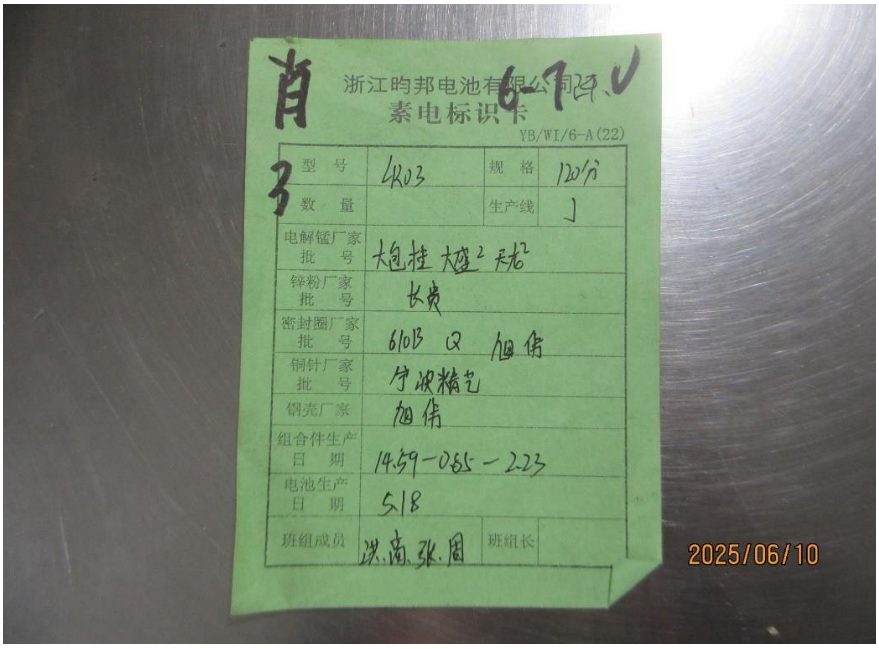


# TRACEABILITY EVIDENCE

**8.3. Inspection report (the production batch number can be traced back through the order number)**

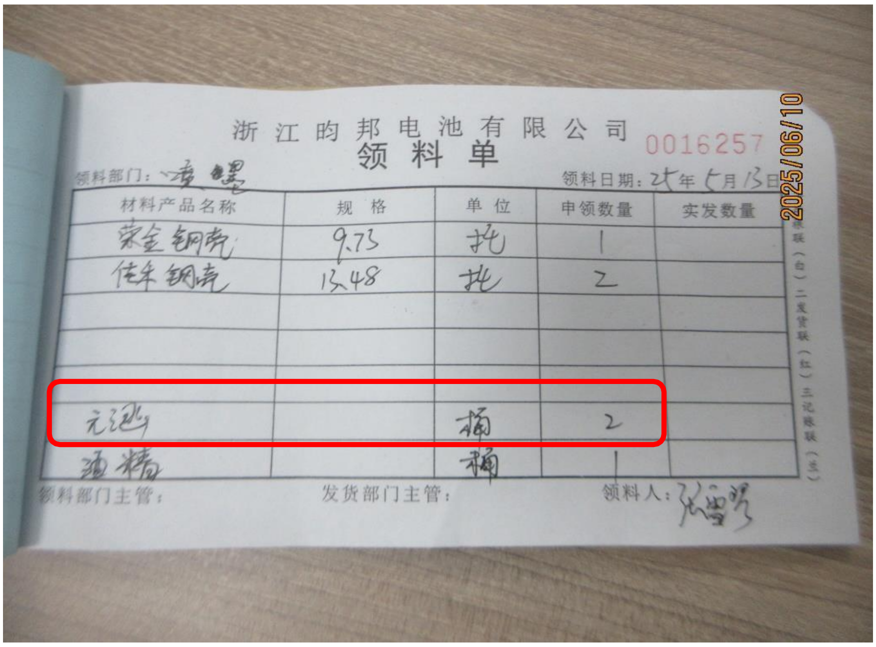



**8.4 Production identification card (Semi-battery batch number: 20250518 )**



# TRACEABILITY EVIDENCE

**8.5 Production Material Requisition Form (Graphite emulsion requisition batch number: 20250515)**



浙江昀邦电池有限公司
领料单
0016257

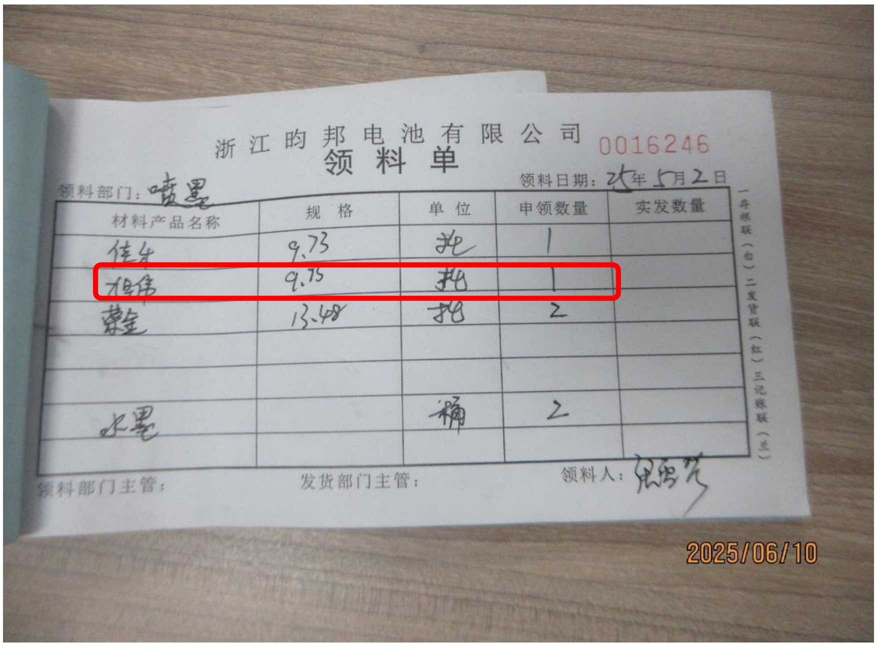
领料部门：喷墨　　领料日期：25年5月13日

| 材料产品名称 | 规格 | 单位 | 申领数量 | 实发数量 |
|---|---|---|---|---|
| 宏金钢壳 | 9.73 | 托 | 1 | |
| 佳华钢壳 | 13.48 | 托 | 2 | |
| | | | | |
| | | | | |
| | | | | |
| [illegible] | | 桶 | 2 | |
| 酒精 | | 桶 | 1 | |

领料部门主管：　发货部门主管：　领料人：[illegible]

2025/06/10

**8.6 Production Material Requisition Form (steel shell requisition batch number: 20250502)**



浙江昀邦电池有限公司
领料单
0016246

领料部门：喷墨　　领料日期：25年5月2日

| 材料产品名称 | 规格 | 单位 | 申领数量 | 实发数量 |
|---|---|---|---|---|
| 佳华 | 9.73 | 托 | 1 | |
| [illegible] | 9.75 | 托 | 1 | |
| 宏金 | 13.48 | 托 | 2 | |
| | | | | |
| | | | | |
| 水墨 | | 桶 | 2 | |

领料部门主管：　发货部门主管：　领料人：[illegible]

2025/06/10

# TRACEABILITY EVIDENCE

## 8.7 Production Material Requisition Form (Graphite powder requisition batch number: 20250515)

金蝶云星空 浙江昀邦电池有限公司

简单生产领料单列表 | 简单生产领料单 - 修改 | 简单生产领料单 - 修改 | 生产订单列表 | 生产订单列表 | 生产订单 - 修改

新增 保存 提交 审核 选单 下推 关联查询 作废 前一 后一 分仓领料 列表 凭证 选项 退出

基本信息 其他

单据编号 JDSCLL00000424　发料组织 浙江昀邦电池有限公司　货主类型 业务组织
单据类型 简单生产领料　库存组　货主 浙江昀邦电池有限公司
日期 2025/5/15　仓管员　生产组织 浙江昀邦电池有限公司
单据状态 已审核　领料人　生产车间 正极车间
业务类型 标准　备注

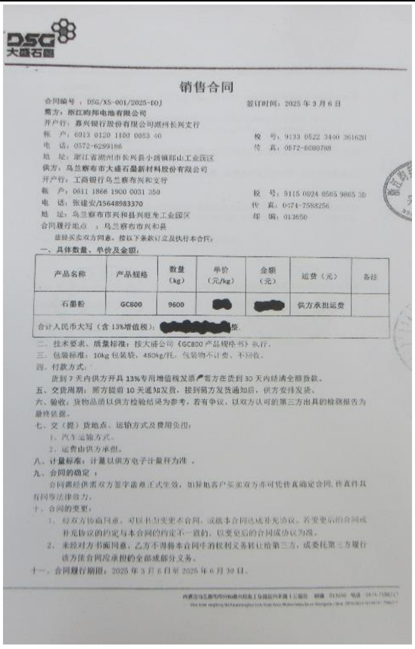
审核

明细 库存 参考

新增行 删除行 批量填充 业务查询

| 序号 | 物料编码 | 物料名称 | 规格型号 | 品牌 | 单位 | 申请数量 | 实发数量 | 仓库 | 仓位 | 批号 |
|---|---|---|---|---|---|---|---|---|---|---|
| 1 | YCL-EMD-GL-C | 锰粉 (EMD) | 高性能桂柳 | | 吨 | 7.500 | 7.500 | 正极车间材料库 | | 2025020801 |
| 2 | YCL-EMD-PRS- | 普瑞斯锰粉 (EMD) (无汞碱性电解二氧 | Allz(750公斤) | | 吨 | 1.500 | 1.500 | 正极车间材料库 | | 2025041701 |
| 3 | YCL-PA-NPFY | 南平福亚PA(丙烯酸聚合物—CAR676) | 进口PA 22公斤/箱 | | 千克 | 12.0000 | 12.0000 | 正极车间材料库 | | 2025040301 |
| 4 | YCL-YZSG-GDL | 广东绿伟硬脂酸钙 (YZSG) | 广东绿伟20公斤 | | 千克 | 19.2000 | 19.2000 | 正极车间材料库 | | 2025021401 |
| 5 | YCL-LSB | 近业硫酸钡 (LSB) (鑫沣源) | | | 吨 | 0.150 | 0.150 | 正极车间材料库 | | 2025032601 |
| 6 | [illegible] | [illegible] | 1200目 | | 吨 | [illegible] | [illegible] | 正极车间材料库 | | [illegible] |
| 7 | YCL-Gr-800-DS | 大盛石墨粉 (Gr) | 800目 | | 吨 | 0.240 | 0.240 | 正极车间材料库 | | 202503150 |

## 8.8 Purchase order number (Graphite emulsion: CGDD000507, steel shell: CGDD000472, Graphite powder: CGDD000395)



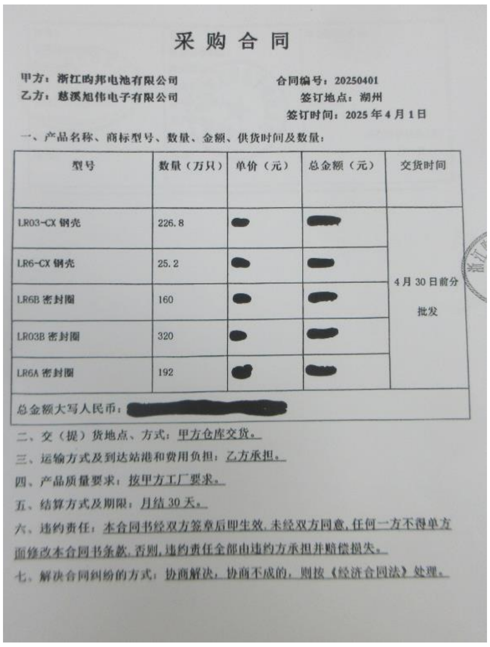
DSG 大盛石墨

销售合同

合同编号：DSG/XS-001/2025-DDJ　签订时间：2025年3月6日
需方：浙江昀邦电池有限公司
开户行：嘉兴银行股份有限公司湖州长兴支行
帐　户：6013 0120 1100 0053 40　税　号：9133 0522 3440 361621I
电　话：0572-6299186　传　真：0572-6680788
地　址：浙江省湖州市长兴县小浦镇郎山工业园区
供方：乌兰察布市大盛石墨新材料股份有限公司
开户行：工商银行乌兰察布兴和支行
帐　户：0611 1866 1900 0031 350　税　号：9115 0924 0585 9865 3D
电　话：张建安/15648983370　传　真：0474-7588256
地　址：乌兰察布市兴和县兴旺角工业园区　邮　编：013650
合同履行地点：乌兰察布市兴和县
经买卖双方同意，按以下条款订立及执行本合同：
一、具体数量、单价及金额：

| 产品名称 | 产品规格 | 数量 (kg) | 单价 (元/kg) | 金额 (元) | 运费 (元) | 备注 |
|---|---|---|---|---|---|---|
| 石墨粉 | GC800 | 9600 | [redacted] | [redacted] | 供方承担运费 | |
| 合计人民币大写（含13%增值税）：[redacted]整。 | | | | | | |

二、技术要求、质量标准：按大盛公司《GC800产品规格书》执行。
三、包装标准：10kg包装袋，480kg/托，包装物不计费、不回收。
四、付款方式：
货到7天内供方开具13%专用增值税发票，需方在货到30天内结清全额货款。
五、交货周期：需方提前10天通知发货，接到需方发货通知后，供方安排发货。
六、验收：货物品质以供方检验结果为参考，若有争议，以双方认可的第三方出具的检测报告为最终依据。
七、交（提）货地点、运输方式及费用负担：
1、汽车运输方式。
2、运费由供方承担。
八、计量标准：计量以供方电子计量秤为准。
九、合同的确定：
合同需经供需双方签字盖章正式生效，如异地客户买卖双方亦可凭传真确定合同，传真件具有同等法律效力。
十、合同的变更：
1、经双方协商同意，可以书面变更本合同，或就本合同达成补充协议。若变更后的合同或补充协议的约定与本合同的约定不一致的，以变更后的合同或协议为准。
2、未经对方书面同意，乙方不得将本合同中的权利义务转让给第三方，或委托第三方履行该方依合同应承担的全部或部分义务。
十一、合同履行期限：2025年3月6日至2025年6月30日。



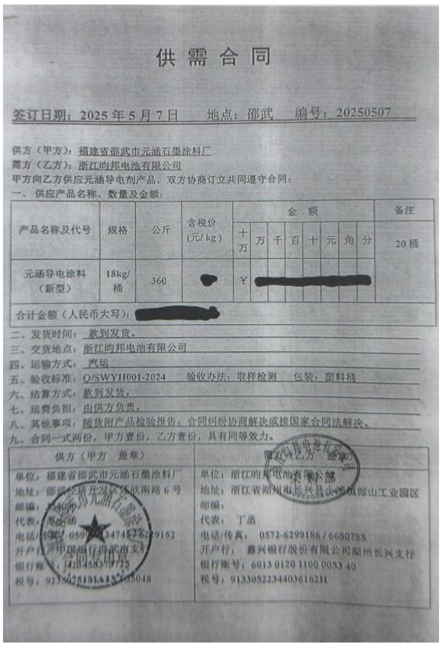
采 购 合 同

甲方：浙江昀邦电池有限公司　合同编号：20250401
乙方：慈溪旭伟电子有限公司　签订地点：湖州
签订时间：2025年4月1日

一、产品名称、商标型号、数量、金额、供货时间及数量：

| 型号 | 数量（万只） | 单价（元） | 总金额（元） | 交货时间 |
|---|---|---|---|---|
| LR03-CX 钢壳 | 226.8 | [redacted] | [redacted] | 4月30日前分批发 |
| LR6-CX 钢壳 | 25.2 | [redacted] | [redacted] | |
| LR6B 密封圈 | 160 | [redacted] | [redacted] | |
| LR03B 密封圈 | 320 | [redacted] | [redacted] | |
| LR6A 密封圈 | 192 | [redacted] | [redacted] | |
| 总金额大写人民币：[redacted] | | | | |

二、交（提）货地点、方式：甲方仓库交货。
三、运输方式及到达站港和费用负担：乙方承担。
四、产品质量要求：按甲方工厂要求。
五、结算方式及期限：月结30天。
六、违约责任：本合同书经双方签章后即生效，未经双方同意，任何一方不得单方面修改本合同书条款，否则，违约责任全部由违约方承担并赔偿损失。
七、解决合同纠纷的方式：协商解决，协商不成的，则按《经济合同法》处理。



供 需 合 同

签订日期：2025年5月7日　地点：邵武　编号：20250507

供方（甲方）：福建省邵武市元涵石墨涂料厂
需方（乙方）：浙江昀邦电池有限公司
甲方向乙方供应元涵导电剂产品，双方协商订立共同遵守合同：
一、供应产品名称、数量及金额：

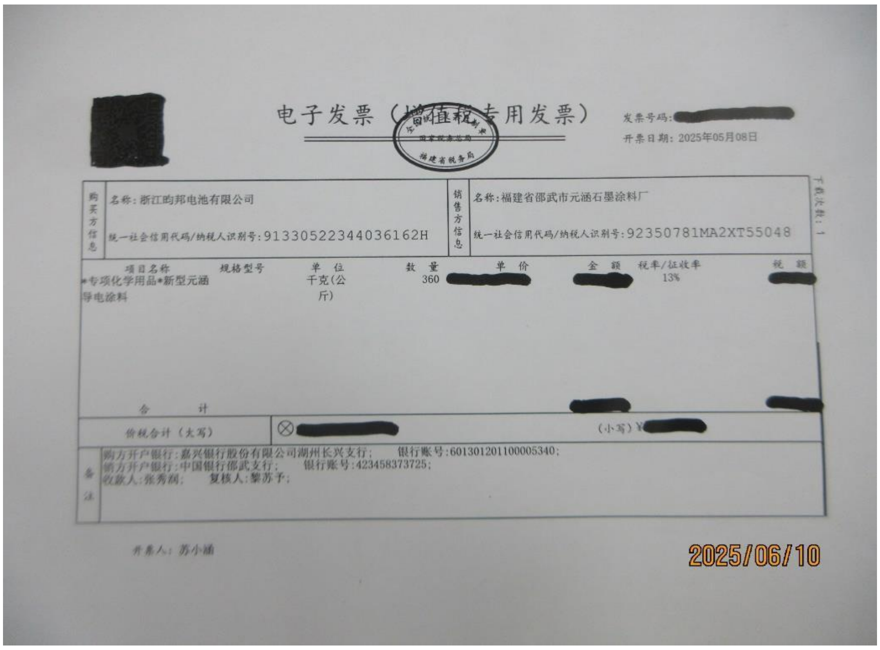
| 产品名称及代号 | 规格 | 公斤 | 含税价 (元/kg) | 金额 | 备注 |
|---|---|---|---|---|---|
| 元涵导电涂料（新型） | 18kg/桶 | 360 | [redacted] | ¥ [redacted] | 20桶 |
| 合计金额（人民币大写）：[redacted] | | | | | |

二、发货时间：款到发货。
三、交货地点：浙江昀邦电池有限公司
四、运输方式：汽运
五、验收标准：Q/SWYH001-2024　验收办法：取样检测　包装：塑料桶
六、结算方式：款到发货。
七、运费负担：由供方负责。
八、其他事项：随货附产品检验报告；合同纠纷协商解决或按国家合同法解决。
九、合同一式两份，甲方壹份，乙方壹份，具有同等效力。

| 供方（甲方　盖章） | 需方（乙方　盖章） |
|---|---|
| 单位：福建省邵武市元涵石墨涂料厂 | 单位：浙江昀邦电池有限公司 |
| 地址：[illegible]南路6号 | 地址：浙江省湖州市长兴县小浦镇郎山工业园区 |
| 邮编：[illegible] | 邮编： |
| 代表：[illegible] | 代表：丁[illegible] |
| 电话/传真：[illegible] | 电话/传真：0572-6299186 / 6680788 |
| 开户行：[illegible] | 开户行：嘉兴银行股份有限公司湖州长兴支行 |
| 银行账号：[illegible] | 银行账号：6013 0120 1100 0053 40 |
| 税号：[illegible] | 税号：913305223440361621I |

# TRACEABILITY EVIDENCE

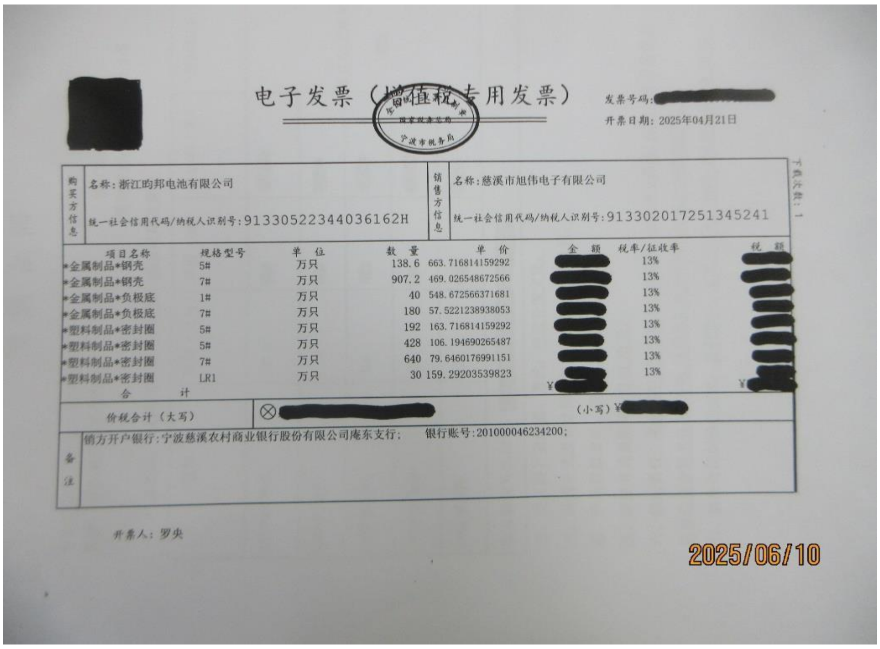
## 8.9 Invoices (Fujiang Shaowu city Yuanhan Graphite Coating Factory)




## 8.10 Invoices (Ulanqab City Dasheng Graphite New Materials Co., Ltd.)

# TRACEABILITY EVIDENCE

| 8.11 Invoices (Cixi Xuwui Electronics Co., Ltd. ) |
|---|



[redacted]

电子发票（增值税专用发票）

发票号码：[redacted]

开票日期：2025年04月21日

| 购买方信息 | 名称：浙江昀邦电池有限公司<br>统一社会信用代码/纳税人识别号：91330522344036162H | 销售方信息 | 名称：慈溪市旭伟电子有限公司<br>统一社会信用代码/纳税人识别号：913302017251345241 |
|---|---|---|---|

| 项目名称 | 规格型号 | 单位 | 数量 | 单价 | 金额 | 税率/征收率 | 税额 |
|---|---|---|---|---|---|---|---|
| *金属制品*钢壳 | 5# | 万只 | 138.6 | 663.716814159292 | [redacted] | 13% | [redacted] |
| *金属制品*钢壳 | 7# | 万只 | 907.2 | 469.026548672566 | [redacted] | 13% | [redacted] |
| *金属制品*负极底 | 1# | 万只 | 40 | 548.672566371681 | [redacted] | 13% | [redacted] |
| *金属制品*负极底 | 7# | 万只 | 180 | 57.5221238938053 | [redacted] | 13% | [redacted] |
| *塑料制品*密封圈 | 5# | 万只 | 192 | 163.716814159292 | [redacted] | 13% | [redacted] |
| *塑料制品*密封圈 | 5# | 万只 | 428 | 106.194690265487 | [redacted] | 13% | [redacted] |
| *塑料制品*密封圈 | 7# | 万只 | 640 | 79.6460176991151 | [redacted] | 13% | [redacted] |
| *塑料制品*密封圈 | LR1 | 万只 | 30 | 159.29203539823 | [redacted] | 13% | [redacted] |
| 合计 | | | | | ¥[redacted] | | ¥[redacted] |
| 价税合计（大写） | ⊗[redacted] | | | | （小写）¥[redacted] | | |
| 备注 | 销方开户银行：宁波慈溪农村商业银行股份有限公司庵东支行； 银行账号：201000046234200； | | | | | | |

下载次数：1

开票人：罗央

2025/06/10

# PHOTO FILE OF FACTORY

| | | | |
|---|---|---|---|
| **Part A: The Factory Outlook** | | | |
| Factory gate |  | Factory building |  |
| **Part B: Production process** | | | |
| Production process |  | Production process |  |
| Production process |  | Production process |  |
| **Part C: Warehouse** | | | |
| Raw materials |  | Finished goods |  |
| **Part D: Non-conforming Photo** | | | |

SGS

# PHOTO FILE OF FACTORY

| | | | |
|---|---|---|---|
| NC-04: Alcohol in chemical warehouse , the electrolyte in the workshop is not stored in 2nd containers, and the MSDS documents all chemicals are not available on site. |  | NC-19: Some switchgear/distribution cabinets are not locked, posing a risk of electric shock. |  |
| NC-21: it was found that some workers in the assembly workshop did not wear earplugs. |  | NC-22: The filter element for the drinking water was not replaced as per the schedule. |  |
| NC-23: The evacuation plots for the assembly workshop is not placed on site. |  | NC-24: There are no inspection records for the dry powder fire extinguishers in the workshop, and some of the fire extinguisher boxes are covered by sundries. |  |